



Review article

Current prospects for mRNA gene delivery

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ABSTRACT

Replication-deficient viruses have been used most successfully in the field of gene therapy because of their high transfection efficiency. However, the risk of insertional mutagenesis and induction of unwanted immune responses remains still critical for their safe application. On the other hand, nonviral vectors have been intensively investigated for plasmid DNA (pDNA) delivery as a safer alternative although their gene transfer efficiency is still many folds lower than for viral vectors, which has been predominately attributed to the insufficient transport of pDNA into the nucleus. Instead of pDNA, messenger RNA (mRNA) has recently emerged as an attractive and promising alternative in the nonviral gene delivery field. This strategy combines several advantages compared to pDNA: (i) the nuclear membrane, which is a major obstacle for pDNA, can be avoided because mRNA exerts its function in the cytoplasm; (ii) the risk of insertional mutagenesis can be excluded; (iii) the determination and use of an efficient promoter is omitted; (iv) repeated application is possible; (v) mRNA is also effective in non-dividing cells, and (vi) vector-induced immunogenicity may be avoidable. In this review, we summarize recent improvements of mRNA gene delivery and discuss its opportunities for the usage in gene therapy.

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1. Introduction

Gene transfer vehicles based on mRNA have emerged as attractive alternatives to vehicles made of DNA for the potential treatment of genetic disorders or (anti-tumor) vaccination [1–4]. Its successful application has been demonstrated in cancer immunotherapy [5,6], not only because it is possible to deliver all epitopes of entire antigens in one step together but manipulation as well as purification are rather simple, too. In addition, this strategy has several advantages in terms of pharmaceutical safety because mRNA does not integrate into the genome and the transfection remains transient. mRNA encoding versatile antigens combined with delivery to dendritic cells (DCs) is a strong and promising approach to induce immune response in cancer patients [7,8].

Thus far, plasmid DNA (pDNA) has been largely used for nonviral gene transfer. However, it can hardly be transfected into non-dividing mammalian cells and, besides, bacterial unmethylated DNA CpG motifs induce strong immune response through Toll-like receptor 9 (TLR9) [9]. For example, only 1–10% of DCs are transfected by means of electroporation, cationic polymers or cationic lipids [10–13]. On the other hand, transfection efficiencies by electroporation of mRNA has been previously shown to reach up

to 95% transfected cells [8]. These observations suggest that mRNA transfer is much more effective compared to pDNA transfer, for the most part because mRNA does not have to be transported into the nucleus. Consequently, early and dramatically higher protein expression has been reported [14–16].

Though the above listed advantages for the usage of mRNA for nonviral gene transfer should be emphasized, it must be noted that mRNA undergoes approximately 13 different nucleoside modifications including methylation in eukaryotic cells [17], and *in vitro* transcribed mRNA causes strong immune responses mediated by TLR3, TLR7 and TLR8 [18,19], which represents a major challenge for its successful *in vivo* application. However, modified nucleosides may contribute to a reduction of these immune stimulatory effects, as shall be discussed later [20].

2. Advances of *in vitro* transcription techniques

Mature mRNA in eukaryotic cells consists of five significant portions: the cap structure ([m⁷Gp₃N (N: any nucleotide)]), the 5' untranslated region (5'UTR), an open reading frame (ORF), the 3'untranslated region (3'UTR), and a tail of 100–250 adenosine residues (Poly(A) tail) [21,22] (Fig. 1). *In vitro* transcribed mRNA can be obtained from plasmid DNA harboring a bacteriophage promoter, such as T7, SP6, or T3. *In vitro* transcription is a common technique using commercially available kits to obtain sufficient amounts of functional mRNA. Thus far, feasibility and technical refinement have been dramatically improved.

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| Cap | 5' UTR | ORF | 3' UTR | poly(A) tail |
|-----|--------|-----|--------|--------------|
|-----|--------|-----|--------|--------------|

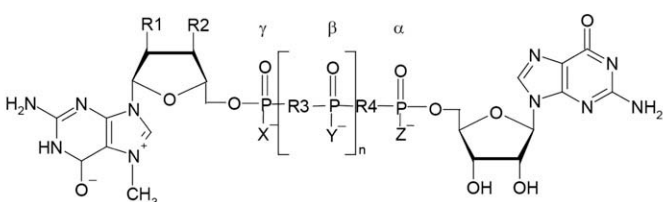
Fig. 1. Structure of eucaryotic mature mRNA; ORF, open reading frame; UTR, untranslated region.

It was found that one-third to one-half of the caps are incorporated in the reverse orientation during *in vitro* transcription [23], making them unrecognizable to the cap-binding protein, eukaryotic initiation factor 4E (eIF4E) [24–26]. Instead of the normal cap structure, Stepinski et al. discovered that an anti-reverse cap analog (ARCA), $m_2^{7,3'}Gp_3G$ and $m_2^{7,3'}dGp_3G$ in which a 3'OH group of a normal cap is removed or replaced with OCH_3 could avoid the cap incorporation in the wrong orientation [27]. Subsequently, a high number of modifications on ARCA have been reported (Fig. 2). Intriguingly, Jemielity et al. found that modifications not only at the C3' position, but also at the C2' position prevent reverse incorporation [28]. Moreover, tetraphosphate ARCAs can promote translation more efficiently than other cap analogs [28]. As a result, *in vitro* ARCA-capped transcripts (ARCA-mRNA) showed significantly higher translation efficiency compared to normal capped transcripts (CAP-mRNA) in rabbit reticulocyte lysate [14]. Further, it has been reported that $m_2^{7,3'}Gpp_{CH_2}pG$ or $m_2^{7,3'}Gp_{CH_2}ppG$, in which the bridging oxygen in the α - β -linkage or β - γ -linkage, was substituted by a methylene group, respectively [29], were found to be resistant to hydrolysis by human Dcp2, one of the decapping enzymes, *in vitro*, and increased mRNA stability [30]. However, $m_2^{7,3'}Gpp_{CH_2}pG$ showed only 52–68% affinity for eIF4E compared to $m_2^{7,3'}Gp_3G$ [30]. Grudzien-Nogalska et al. have recently reported that a phosphorothioate on ARCA (S-ARCA) stabilized and increased the efficiency of translation [31]. They found that luciferase (luc) mRNA capped with a sulfur substitution for a nonbridging oxygen in the β -phosphate moiety on ARCA, $m_2^{7,2'}Gpp_s pG$ (D2), was translated 5.1-fold more efficiently than

a normal cap. Another diastereoisomeric form (D1) showed 2.8-fold higher translation efficiency [31]. There was no significant difference in the efficiency of translation between S-ARCA and ARCA. However, $t_{1/2}$ in D2 (257 min) was found to be strongly prolonged compared to normal cap (86 min) or ARCA (155 min) [31]. It seems therefore that the phosphorothioate contributes to the resistance to hydrolysis.

The poly(A) tail plays an important role in both mRNA translation and stability. The poly(A) tail binds to polyadenosyl binding protein (PABP) [32]. PABP interacts with the N-terminus of eIF4G, which leads to mRNA circularization [32]. In addition, the poly(A) tail is able to bind numerous PABPs, whose interaction with eIF4G results in an increase for the affinity of eIF4E to the cap structure. The Cap-poly(A) interaction cooperatively results from the physical interactions between mRNA 5' and 3' ends [33]. Once the poly(A) tail is removed or shortened to less than 12 residues, degradation of mRNA occurs through the cleavage of the 5' cap structure and 5' to 3' exonucleotidic digestion or 3' to 5' degradation [34]. These observations illustrate that the poly(A) tail is very important to inhibit decapping as well as degradation of mRNA. For *in vitro* transcription, unless the template plasmid DNA contains a poly(d(A/T)) tail, it may be post-polyadenylated by the poly(A) polymerase. However, in this case the length of the poly(A) tail may vary from reaction to reaction and within one approach, although this variation remains surprisingly low (unpublished results).

Intriguingly, it has been reported that although capped polyadenylated mRNA translation was inhibited by the addition of exogenous poly(A) [35,36] *in trans*, the translation of capped non-polyadenylated mRNA was rather stimulated under certain poly(A) concentrations [37]. However, according to the report by Borman et al., the addition of exogenous poly(A) which consists of 10–180 residues *in trans* in rabbit reticulocyte lysates stimulated translation of capped mRNA with a 100 adenosine residue poly(A) tail 11-fold [38]. Mockey et al reported that the addition of a



| Cap analog | R1 | R2 | R3 | R4 | X | Y | Z | n | relative translational efficiency | reference |
|--------------------------|---------|---------|--------|--------|---|---|---|---|-----------------------------------|------------|
| $m_2^7Gp_3G$ | OH | OH | O | O | O | O | O | 1 | 1.00 | 24, 26, 27 |
| $m_2^{7,2'}dGp_3G$ | H | OH | O | O | O | O | O | 1 | 1.17 ± 0.13 | 24 |
| $m_2^{7,2'}Gp_3G$ | OCH_3 | OH | O | O | O | O | O | 1 | 2.10 ± 0.2 | 27 |
| $m_2^{7,3'}dGp_3G$ | OH | H | O | O | O | O | O | 1 | 2.13 ± 0.5 | 23 |
| $m_2^{7,3'}Gp_3G$ | OH | OCH_3 | O | O | O | O | O | 1 | 1.88 ± 0.40 | 24 |
| $m_2^{7,3'}Gpp_{CH_2}pG$ | OH | OCH_3 | O | CH_2 | O | O | O | 1 | 1.3 ± 0.1 | 26 |
| $m_2^{7,3'}Gp_{CH_2}ppG$ | OH | OCH_3 | CH_2 | O | O | O | O | 1 | 1.2 ± 0.2 | 26 |
| $m_2^{7,2'}Gppp_sG$ (D1) | OCH_3 | OH | O | O | O | O | S | 1 | 2.5 ± 0.8 | 27 |
| $m_2^{7,2'}Gppp_sG$ (D2) | OCH_3 | OH | O | O | O | O | S | 1 | 1.8 ± 0.4 | 27 |
| $m_2^{7,2'}Gpp_pG$ (D1) | OCH_3 | OH | O | O | O | S | O | 1 | 2.8 ± 0.3 | 27 |
| $m_2^{7,2'}Gpp_pG$ (D2) | OCH_3 | OH | O | O | O | S | O | 1 | 5.1 ± 0.5 | 27 |
| $m_2^{7,2'}Gp_ppG$ (D1) | OCH_3 | OH | O | O | S | O | O | 1 | 2.0 ± 0.1 | 27 |
| $m_2^{7,2'}Gp_ppG$ (D2) | OCH_3 | OH | O | O | S | O | O | 1 | 1.9 ± 0.1 | 27 |
| $m_2^{7,3'}Gp_4G$ | OH | OCH_3 | O | O | O | O | O | 2 | 2.42 ± 0.22 | 24 |
| $m_2^{7,2'}Gp_4G$ | OCH_3 | OH | O | O | O | O | O | 2 | 2.56 ± 0.18 | 24 |
| $m_2^{7,2'}dGp_4G$ | H | OH | O | O | O | O | O | 2 | 1.83 ± 0.18 | 24 |
| $m_2^7Gp_5G$ | OH | OH | O | O | O | O | O | 3 | 1.05 ± 0.08 | 24 |
| $m_2^{7,3'}Gp_5G$ | OH | OCH_3 | O | O | O | O | O | 3 | 1.39 ± 0.16 | 24 |

Fig. 2. Modifications of ARCA structures.

poly(A) tail in the range of 15–600 residues resulted in a 2.3-fold stimulation of protein expression by co-transfection of ARCA-luc mRNA-A100 using lipofection [14].

Besides, both the cap structure and the poly(A) tail have been reported to individually contribute to the level of protein expression. Mockey et al. found that ARCA-luc mRNA-A64 or 100 showed 25-fold, and 50-fold higher luciferase activity than CAP-luc mRNA-A64 or 100, respectively, using lipofection in mouse dendritic cells (JAWSII). In addition, ARCA-luc mRNA-A100 showed 700-fold higher luciferase activity than CAP-luc mRNA-A64. Hence, a long poly(A) tail combined with a modified cap structure, ARCA, greatly improves expression efficiency in dendritic cells [14].

It lies in the nature of enzymatic reactions that luciferase activity only indirectly measures protein expression levels, which means that the actual effects on translation efficiency remain to be determined. Elango et al. examined whether the length of the poly(A) tail (A0, A20, A40, A60, A80 and A100) affects expression levels not only in dendritic cells but also in other cells types. Interestingly, they found that the translation efficiency increased using a poly(A) tail with a length up to A60, then declined with increasing poly(A) tail length in UMR-106, an osteoblast-like osteosarcoma cell line from rat [16]. The effect of length of poly(A) on translation, therefore, might be cell type-dependent.

An important study was performed by Holtkamp et al. who intensively investigated the impact of mRNA modifications on its stability and translational efficiency in dendritic cells. In this study they discovered various important factors to increase the stability and translational efficiency of mRNA by (i) extending the length of poly(A) to A120; (ii) the use of type IIS restriction enzymes such as *SapI* and *BpI* to avoid an overhang at the 3' end of the poly(A) tail and to obtain a free-ending poly(A) tail when performing the linearization of the template plasmid vector; iii) two sequential 3'UTRs of the human β -globin gene cloned in between ORF and the poly(A) tail [15].

3. mRNA gene delivery systems

3.1. Nonviral gene transfer

A variety of transfection reagents have been evaluated for their ability to deliver mRNA. While until now most publications suggest lipoplexes for mRNA transfection, polyplexes based on polyethylenimine (PEI, 25 and 22 kDa) led to rather poor results. The use of polycations however has been only rarely described in literature, though DEAE-dextran [39], poly(L-lysine) [40] and dendrimers [13] were capable of transfecting mRNA into cells *in vitro*.

The feasibility of mRNA transfer in mammalian cells utilizing cationic lipids has been already described in the late 1980's [39]. DOTMA, a synthetic cationic lipid, incorporated into a liposome (lipofectin) was used to efficiently transfect mRNA into different cell lines *in vitro*. Different amounts of applied mRNA yielded a linear response of luciferase activity. Currently, DOTAP seems to be the most efficient and the most widely used cationic lipid, relatively cheap and efficient in both *in vitro* and *in vivo* mRNA delivery applications [41–43]. In addition, cationic polymers may be used for mRNA transfection. Read et al. described that certain synthetic vectors based on reducible polycations exceeded the mRNA transfection efficiencies of the gold standard 25 kDa PEI by far [44]. However, if those modified vectors can be used directly for *in vivo* gene transfer remains to be investigated. A comprehensive study was performed by Bettinger et al. who addressed the underlying transfection mechanisms in more detail [42]. One of their key findings was that the binding strength between the cationic polymer or lipid represented one of the critical parameters which affected mRNA expression efficiency. Whereas cationic polymers such as branched PEI 25 kDa

and linear PEI 22 kDa, which were effective for pDNA delivery and tightly bound to mRNA, did not result in detectable expression, low molecular weight PEI 2 kDa bound mRNA less efficiently but led to high expression levels in the presence of endosomolytic agents such as chloroquin or chemically linked melittin comparable to DOTAP. These observations demonstrate that single stranded mRNA binding to cationic polymers is stronger than pDNA binding. This has further been demonstrated by Huth et al. who suggested that cytosolic RNA is involved in pDNA release from the cationic polymer as a precondition for nuclear entry and transcription [45]. Therefore, the design of novel cationic polymers for mRNA delivery has to carefully address nucleic-acid binding strength and efficient cationic polymers used for pDNA delivery may not be suitable for mRNA delivery.

Apart from polymeric and liposomal vector systems, an interesting further option that became prominent during the last years is the use of electroporation. Protocols for the delivery of exogenous RNA have been developed, resulting in 50–90% transfection efficiency in human hematopoietic cells and human embryonic stem cells [46,47]. As the mRNA does not have to enter the nucleus, soft electrical pulses may be applied, reducing cell toxicity. Another advantage of electroporation might be that the RNA is shuttled directly into the cytosol, therefore possibly not being sensed by innate RNA receptors, which could surpass unwanted immune responses as discussed in the next chapter.

3.2. Viral gene transfer

Recombinant viruses are commonly used for gene transfer. Usually, those viruses have some or all of the viral genes replaced by the relevant therapeutic or marker genes. Many viruses carry DNA or RNA that will be copied into DNA after transfection and which have to enter the nucleus. However, some RNA viruses have the advantage that expression and replication is localized in the cytoplasm. These positive strand viruses are characterized by a genome that may be directly translated into viral and therapeutic proteins by host ribosomes. In this group, especially alphaviruses (e.g. Sindbis and Semliki Forst virus), picornaviruses and flavivirus (e.g. Kunjin virus) have been utilized for gene therapy approaches. Several alphavirus vectors have become important due to their capacity to express large amounts of foreign protein in a broad range of different hosts [48]. Common strategies are either to directly substitute structural genes with heterologous sequences or to paste the heterologous genes downstream of a subgenomic promoter in tandem with the viral structural genes. In vaccine approaches the Venezuelan encephalitis virus (VEE) became quite prominent: attenuated VEE vaccines seem to protect against viral challenges from a variety of possible intake routes [49]. However, it has been shown that alphaviruses induce severe cytopathic effects which limit their use in gene therapy, although strategies were employed to overcome this problem [50].

Furthermore, the Sendai virus (SeV) should be explicitly mentioned, as it offers several advantages. Sendai virus is a negative sense, ssRNA virus of the *Paramyxoviridae* family. They adsorb to neuraminic acid containing membrane components, which primarily results in uptake not via endocytosis, but via membrane fusion, thereby potentially circumventing (endosomal) TLR recognition. Recombinant SeV vectors (SeVV) are free from genotoxicity and are capable of infecting and multiplying in a wide variety of mammalian cells and tissues [51]. In contrast to positive strand genomes, negative sense RNA is not infectious when introduced into an appropriate host cell, as it must be coupled with its own RNA-dependent RNA polymerase to result in the positive sense RNA. Additionally, SeV is responsible for respiratory tract infection only in mice, hamsters, guinea pigs, rats, and occasionally pigs, but causes no disease in humans. First, SeVV has been suc-

cessfully engineered recombinantly to express enhanced green fluorescent protein (EGFP) [52], luciferase [53] and β -galactosidase [54]. This was followed by introducing genes coding for secretable reporter proteins like secreted alkaline phosphatase [55] and secretable therapeutic proteins like human clotting factor IX [56], Interleukin-10 [57], and human Insulin-like growth factor-1 [58]. Recent developments refined SeV application, showing that IL-2 encoding SeVV induces significant regression of established rat brain tumors [59], SeVV gene delivery of Paraoxonase-1 inhibits neointimal hyperplasia in rabbits [60] and administration of cystic fibrosis transmembrane conductance regulator (CFTR) cDNA introduced into SeV led to partial correction of the CF chloride transport defect in CFTR mutant mice [61].

4. Immune reactions in response to mRNA application

It has already been shown that *in vivo* application of bacterial DNA may lead to strong immune responses, especially via unmethylated CpG motifs [62–65]. In contrast to naked DNA, which induces only a mild cytokine response, its complexes with cationic lipids lead to a strong cytokine response [66–68]. Whereas varying the administration routes of cationic lipids did not markedly alter inflammatory cytokine expression, PEI-DNA either after intravenous injection or aerosol delivery resulted in lower lung cytokine levels compared to cationic lipids [69] presumably due to their different endosomal uptake and thus interaction with the TLR9 receptor [70].

Responses to RNA delivery are much less explored. Both DNA and RNA stimulate the mammalian innate immune system through activation of Toll-like receptors (TLRs). Thirteen TLRs (named simply TLR1 to TLR13) have been identified in humans and mice together, and equivalent forms of many of these have been found in other mammalian species [71–73]. Remarkably, different TLRs can recognize several structurally unrelated ligands [74–76]. The TLR-mediated innate immune system has a bow-tie architecture [77] in which a variety of pathogens and their molecules are represented by a much smaller number of ligands. The subcellular localization of different TLRs correlates to some extent with the molecular patterns of their ligands. Hence, TLR3, TLR7, TLR8 and TLR9 – all of which are involved in the recognition of nucleic-acid like structures, are localized intracellularly [78–80]. TLR3 recognizes dsRNA [81], siRNA [82] and mRNA [19], while TLR7 and TLR8 bind ssRNA [83] and the recognition of CpG DNA motifs is mediated via TLR9 [84,85].

In line with DNA CpG methylation (that suppresses recognition via TLR9), the immunogenicity of RNA seems to be under the control of similar types of modification. Karikó et al. found that *in vitro* transcribed RNA resulted in strong TNF- α response by dendritic cells if they showed no mammalian-typical modifications [20]. Intriguingly, the modification of specific nucleotides (e.g. N6-methyladenosine and pseudouridine, Fig. 3) reduced the TLR3, TLR7 and TLR8 mediated cytokine secretion and activation of DCs dramatically. Hence, it may be possible to eliminate exaggerated *in vivo* immune responses by introducing modified NTPs into the *in vitro* transcription reaction. However, it is currently unknown if those modifications have any impact on TLR-independent recognition via e.g. RIG-1 and MDA-5. The latter are cytosolic RNA helicases that detect viral RNA and trigger type I IFN through an IRF-3-dependent pathway [86]. Therefore, detailed *in vivo* studies – preferably in knock-out mice lacking certain TLRs or other pattern recognition receptors – with different transfection methods have to be performed to determine the real benefit of introducing altered nucleotides into mRNA.

On the other hand, the strong immunostimulatory effect of RNA is used for therapeutic vaccination. Especially dendritic cells (DCs) as antigen presenting cells (APCs) are targeted by vaccine immuno-

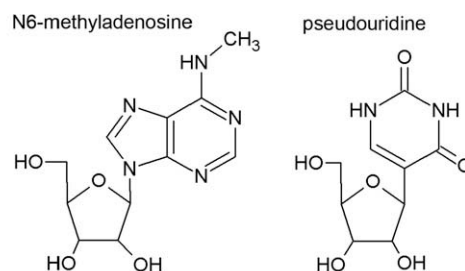


Fig. 3. Modifications of nucleosides.

gens, which is followed by an activation of antigen-specific T and B cells (recently reviewed in [87]). Several *in vivo* and *in vitro* studies have shown that targeting DCs with mRNA induced tumor immunity or anti-tumor responses [88–90]. Compared to transfection of pDNA, mRNA based gene transfer led to higher tumor antigen loading of DCs and had a higher potential to stimulate cytotoxic T lymphocyte responses [13,46]. Apart from anti-tumor approaches the ambition arised to use RNA-transfected DCs to cure or prevent infectious diseases like AIDS, hepatitis C or fungal infection [91–93]. Another elegant strategy to achieve RNA vaccination is to express a target antigen by a bi-cistronic replicative RNA which codes both for the antigen and a RNA replicase [94,95], thereby utilizing the ability of alphaviruses to produce large amounts of viral mRNA [96]. If a cell is transfected, the viral RNA is amplified by the replicase complex which synthesizes a genomic negative-strand that itself represents the template for the synthesis of many genomic RNA positive-strands by the RNA replicase. This approach has already been used in a mouse model to break tolerance and provide immunity to melanoma [97].

5. Future directions

The use of mRNA based gene transfer could indeed be a promising new strategy for the treatment of congenital and acquired diseases. No risk of integration into genomic DNA, strong and adjustable expression, and the fact that the transfection efficacy is independent of the cell cycle of target cells, are just some advantages, among others. Transfection protocols for mRNA are similar to well established plasmid DNA transfection and are, compared with viral transduction protocols, simple. Several papers have shown that mRNA can indeed be used to deliver and express transgenes in mammalian tissues. Recently Probst et al. could demonstrate that *in vivo* delivery of mRNA into human skin led to local gene expression [98]. However, especially for the potential usage of mRNA for the treatment of inherited diseases, the reduction of resulting inflammatory reactions represents a major challenge which has not been carefully addressed to date. By taming or utilizing the immunogenic properties, mRNA offers a promising tool for both gene therapy for inherited diseases and vaccination approaches, respectively. Although principally repeated mRNA application seems to be feasible, knowledge about application frequencies for the long-term treatment of inherited diseases are currently unknown. Thus, short-term expression of mRNA may be critical and could be one of the major limitations for mRNA application for the treatment of inherited diseases. However, long-term correction of genetic disorders at the genomic level could be achieved when mRNA encoding custom-made zinc-finger nucleases [99] to specifically induce double strand breaks was combined together with homologous sequence on a repair template DNA that locally stimulates homologous recombination,

which may further be applied to regenerative medicine together with stem cell technology.

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